

Cetera Advisor Networks LLC - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2021

April 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	93.37	0.77	2.91	2.95

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	37.16	37.43	38.41	35.73	29.48	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	15.31	14.97	15.89	13.66	27.41	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	15.16	14.79	15.23	17.16	24.66	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	14.69	14.86	16.56	13.31	10.34	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	13.08	13.21	11.92	15.06	7.41	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	4.61	4.74	1.99	5.08	0.69	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/SP500/CDRG/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Two Sigma Securities (SOHO):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/SP500/SOHO/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Virtu Americas, LLC (NITE):

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G1 Execution Services, LLC (ETMM):

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UBS Securities LLC (UBSS):

Jane Street Capital, LLC (JNST):

April 2021

Non-S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	92.50	1.79	3.41	2.30

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	34.10	34.38	28.28	30.44	32.55	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	20.23	20.66	18.72	18.02	7.45	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	15.42	14.85	20.22	19.45	28.62	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	14.89	14.78	18.31	16.44	14.15	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	14.54	14.48	13.80	15.00	17.13	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	0.56	0.58	0.55	0.29	0.11	0	0.0000	0	0.0000	0	0.0000	0	0.0000
BNY Mellon Capital Markets LLC (BKCM)	0.26	0.27	0.14	0.36	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Raymond James & Associates, Inc. (RAJA)	0.00	0.00	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

G1 Execution Services, LLC (ETMM):

Virtu Americas, LLC (NITE):

UBS Securities LLC (UBSS):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/NONSP500/UBSS/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

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Jane Street Capital, LLC (JNST):

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BNY Mellon Capital Markets LLC (BKCM):

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April 2021

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	54.45	9.02	30.10	6.43

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CITL)	57.05	59.83	65.00	51.31	49.12	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	38.78	34.37	35.00	45.69	49.12	0	0.0000	0	0.0000	0	0.0000	0	0.0000
DASH Financial Option ATS (LPOX)	3.38	4.97	0.00	2.25	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	0.79	0.83	0.00	0.75	1.75	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities LLC (CITL):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/O/CITL/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Susquehanna International Group, LLP (SUSQ):

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DASH Financial Option ATS (LPOX):

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Wolverine Execution Svc. (WEXX):

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May 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	92.28	0.78	3.43	3.51

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	37.39	37.22	39.62	39.02	39.72	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	15.74	15.36	19.50	19.94	20.84	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	14.15	13.79	15.09	15.78	21.82	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	13.43	13.39	10.69	14.78	13.71	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	13.02	13.67	14.47	5.31	3.22	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	6.25	6.55	0.63	5.02	0.70	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	0.03	0.03	0.00	0.14	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

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Virtu Americas, LLC (NITE):

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Two Sigma Securities (SOHO):

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The Nasdaq Stock Market LLC (NSDQ):

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May 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	92.18	1.30	2.92	3.60

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	34.98	34.83	30.63	35.85	39.67	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	17.62	18.33	15.40	11.35	5.33	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	15.83	15.56	16.41	18.61	20.29	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	15.48	15.27	22.00	17.47	16.92	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	14.71	14.56	14.38	15.96	17.72	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	0.81	0.85	0.68	0.76	0.06	0	0.0000	0	0.0000	0	0.0000	0	0.0000
BNY Mellon Capital Markets LLC (BKCM)	0.55	0.59	0.51	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Raymond James & Associates, Inc. (RAJA)	0.00	0.00	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

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Two Sigma Securities (SOHO):

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Jane Street Capital, LLC (JNST):

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Raymond James & Associates, Inc. (RAJA):

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May 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	48.20	4.87	35.38	11.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CITL)	51.69	51.43	58.70	51.20	51.38	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	41.63	37.14	36.96	46.11	48.62	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	3.71	6.15	4.35	1.50	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
DASH Financial Option ATS (LPOX)	2.97	5.27	0.00	1.20	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities LLC (CITL):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/O/CITL/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

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June 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	92.00	0.90	3.30	3.80

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	37.24	37.11	36.60	39.30	38.93	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	15.75	15.14	17.01	25.17	22.02	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	14.63	14.46	15.98	10.91	21.78	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	13.32	13.95	15.46	5.03	4.62	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	12.70	12.69	10.82	13.99	12.17	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	6.35	6.64	4.12	5.59	0.49	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

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https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/SP500/SOHO/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

G1 Execution Services, LLC (ETMM):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/SP500/ETMM/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

UBS Securities LLC (UBSS):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/SP500/UBSS/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Jane Street Capital, LLC (JNST):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/SP500/JNST/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

June 2021

Non-S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	89.48	1.55	4.19	4.78

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	34.49	34.40	28.82	35.58	36.91	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	18.02	19.24	18.67	7.90	3.95	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	16.75	16.15	18.27	22.34	22.58	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	15.35	15.05	19.22	18.29	17.10	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	14.52	14.24	13.67	15.24	19.42	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	0.63	0.66	0.14	0.65	0.04	0	0.0000	0	0.0000	0	0.0000	0	0.0000
BNY Mellon Capital Markets LLC (BKCM)	0.25	0.26	1.22	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/NONSP500/CDRG/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

G1 Execution Services, LLC (ETMM):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/NONSP500/ETMM/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Virtu Americas, LLC (NITE):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/NONSP500/NITE/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

UBS Securities LLC (UBSS):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/NONSP500/UBSS/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Two Sigma Securities (SOHO):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/NONSP500/SOHO/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Jane Street Capital, LLC (JNST):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/NONSP500/JNST/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

BNY Mellon Capital Markets LLC (BKCM):

https://nms606.kargroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/NONSP500/BKCM/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

June 2021

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	55.37	7.06	32.66	4.91

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CITL)	54.94	56.10	56.52	54.51	42.50	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	38.67	33.59	43.48	43.61	56.25	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	4.24	6.43	0.00	1.88	1.25	0	0.0000	0	0.0000	0	0.0000	0	0.0000
DASH Financial Option ATS (LPOX)	2.15	3.88	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities LLC (CITL):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/O/CITL/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Susquehanna International Group, LLP (SUSQ):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/O/SUSQ/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

Wolverine Execution Svc. (WEXX):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/O/WEXX/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf

DASH Financial Option ATS (LPOX):

https://nms606.karngroup.com/api/606stats/public606/606/report/FNIC_PRNG/material/2021Q2/O/LPOX/a7b453f74a463c0392b4c30e3fa95de76f4acc9/CAN%20Material%20Aspects%20Disclosure%20Q2%202021.pdf