

# BNY Capital Markets LLC - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Oct 28 2021 15:42:20 GMT-0400 (Eastern Daylight Time)

3rd Quarter, 2021

July 2021

## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
New York Stock Exchange, Inc (NYSE)	62.50	0.00	0.00	0.00	62.50	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	37.50	0.00	0.00	0.00	37.50	0	0.0000	0	0.0000	0	0.0000	0	0.0000

### Material Aspects:

New York Stock Exchange, Inc (NYSE):

[https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/SP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/SP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

The Nasdaq Stock Market LLC (NSDQ):

[https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/SP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/SP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

July 2021

## Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market LLC (NSDQ)	57.23	0.00	0.00	0.00	57.23	0	0.0000	0	0.0000	0	0.0000	0	0.0000
New York Stock Exchange, Inc (NYSE)	39.84	0.00	0.00	0.00	39.84	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	2.15	0.00	0.00	0.00	2.15	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE MKT LLC (AMEX)	0.78	0.00	0.00	0.00	0.78	0	0.0000	0	0.0000	0	0.0000	0	0.0000

### Material Aspects:

The Nasdaq Stock Market LLC (NSDQ):

[https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

New York Stock Exchange, Inc (NYSE):

[https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

NYSE ARCA (ARCA):

[https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/ARCA/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/ARCA/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

NYSE MKT LLC (AMEX):

[https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/AMEX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.karngroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/AMEX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

## July 2021

### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	3.80	5.70	89.24	1.27

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CITL)	56.33	50.00	77.78	54.61	100.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	39.24	50.00	22.22	40.43	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	4.43	0.00	0.00	4.96	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

**Material Aspects:**

Citadel Securities LLC (CITL):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/CITL/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/CITL/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

Susquehanna International Group, LLP (SUSQ):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/SUSQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/SUSQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

Wolverine Execution Svc. (WEXX):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/WEXX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/WEXX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

## August 2021

---

**S&P 500 Stocks****Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

**Venues**

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
New York Stock Exchange, Inc (NYSE)	69.37	0.00	0.00	0.00	69.37	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	30.63	0.00	0.00	0.00	30.63	0	0.0000	0	0.0000	0	0.0000	0	0.0000

**Material Aspects:**

New York Stock Exchange, Inc (NYSE):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/SP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/SP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

The Nasdaq Stock Market LLC (NSDQ):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/SP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/SP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

---

## August 2021

---

**Non-S&P 500 Stocks****Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market LLC (NSDQ)	51.71	0.00	0.00	0.00	51.71	0	0.0000	0	0.0000	0	0.0000	0	0.0000
New York Stock Exchange, Inc (NYSE)	39.97	0.00	0.00	0.00	39.97	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	6.85	0.00	0.00	0.00	6.85	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE MKT LLC (AMEX)	1.47	0.00	0.00	0.00	1.47	0	0.0000	0	0.0000	0	0.0000	0	0.0000

## Material Aspects:

The Nasdaq Stock Market LLC (NSDQ):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

New York Stock Exchange, Inc (NYSE):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

NYSE ARCA (ARCA):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/ARCA/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/ARCA/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

NYSE MKT LLC (AMEX):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/AMEX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/AMEX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

## August 2021

## Options

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	3.21	5.96	90.83	0.00

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CITL)	55.96	28.57	53.85	57.07	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	43.58	57.14	46.15	42.93	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	0.46	14.29	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

**Material Aspects:**

Citadel Securities LLC (CITL):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/CITL/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/CITL/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

Susquehanna International Group, LLP (SUSQ):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/SUSQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/SUSQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

Wolverine Execution Svc. (WEXX):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/WEXX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/WEXX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

## September 2021

---

**S&P 500 Stocks****Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

**Venues**

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE New York Stock Exchange, Inc (NYSE)	64.71	0.00	0.00	0.00	64.71	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	35.29	0.00	0.00	0.00	35.29	0	0.0000	0	0.0000	0	0.0000	0	0.0000

**Material Aspects:**

NYSE New York Stock Exchange, Inc (NYSE):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/SP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/SP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

The Nasdaq Stock Market LLC (NSDQ):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/SP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/SP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

---

## September 2021

---

**Non-S&P 500 Stocks****Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market LLC (NSDQ)	57.03	0.00	0.00	0.00	57.03	0	0.0000	0	0.0000	0	0.0000	0	0.0000
New York Stock Exchange, Inc (NYSE)	37.92	0.00	0.00	0.00	37.92	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	3.88	0.00	0.00	0.00	3.88	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE MKT LLC (AMEX)	1.16	0.00	0.00	0.00	1.16	0	0.0000	0	0.0000	0	0.0000	0	0.0000

## Material Aspects:

The Nasdaq Stock Market LLC (NSDQ):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/NSDQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

New York Stock Exchange, Inc (NYSE):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/NYSE/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

NYSE ARCA (ARCA):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/ARCA/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/ARCA/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

NYSE MKT LLC (AMEX):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/NONSP500/AMEX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/NONSP500/AMEX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

## September 2021

## Options

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.06	4.26	92.55	2.13

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CITL)	55.85	100.00	50.00	55.75	50.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	43.62	0.00	37.50	44.25	50.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	0.53	0.00	12.50	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

**Material Aspects:**

Citadel Securities LLC (CITL):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/CITL/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/CITL/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

Susquehanna International Group, LLP (SUSQ):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/SUSQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/SUSQ/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)

Wolverine Execution Svc. (WEXX):

[https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM\\_606/material/2021Q3/O/WEXX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf](https://nms606.kargroup.com/api/606stats/public606/606/report/BKCM_606/material/2021Q3/O/WEXX/130cd8fdb8d137275486fdfee284f0891cebb531/BKCM%20606%20disclosure%205-19-20.pdf)